

Source of Wealth Declaration Form

CLIENT SERVICE CONTACT DETAILS

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Please complete either section 1a or 1b, depending on your legal entity type, before providing sufficient information in Section 2 to allow us to understand how you have obtained the funds for your investment and the source of wealth. Kindly be informed that all information and documents will remain confidential and secure.

Section 1a: Individuals / Natural Persons

For joint accounts, please complete one form for each investor

Investor Name

Date of Birth Identity No Nationality

Residential Address

(Please note, PO Box or C/O details are not acceptable)

Investor Signature

Section 1b: Entities / Companies

Investor Name

Date of Inc Company No Jurisdiction

Registered Address

(Please note, PO Box or C/O details are not acceptable)

Investor Signature

Section 2: Investor Declarations

I/We hereby confirm that investments made into the above-named Fund:

- Are not made on behalf of a third party
- The funds invested are derived from legitimate sources and are not linked and/or derived from criminal origin
- The Funds do not constitute the proceeds of money laundering or terrorist financing
- The Funds originate from the following source: (you can mark more than one option)

Capital of company/Dividends or income from business:

If yes, please provide the details, including company name and amount:

Gift/Inheritance:

If yes, please give details, including origin, date received and amount:

Professional salary:

Name of Employer:

Address of Employer:

No of years in Employment:

Gross Annual Income:

Profit from sold or maturing investments:

If yes, please provide the date of liquidation/sale and sale amount:

Profit from Property sale:

If yes, please provide the details and total sale amount:

Profits from sale of company:

If yes, please provide the name and nature of the company, date and amount of sale:

Compensation payment:

If yes, please provide details on the type and amount of compensation received:

Pension (please complete the section Professional Salary):

Other, please specify:

Please note that evidence and/or back-up documentation of this source of wealth declaration, as detailed in the guidelines below, must be provided for all high risk clients and Prescient Fund Services (Ireland) Limited reserves the right to request further information and back-up documentation if deemed necessary.

Source of Wealth Guidelines

Description of Source	Documents to be provided (upon further request)
Income from salary	<i>One of the following:</i> • Payslip (latest 3 months) • Letter from employer confirming salary & years in employment • Bank statement showing receipt of salary
Maturity or surrender of life policy	<i>One of the following:</i> • Closing statement • Letter from insurance
Sale/liquidation of investments	<i>One of the following:</i> • Certificate/Contract Note/Statement • Bank Statement showing the redemption proceeds incoming • Signed letter from regulated accountant on headed paper
Sale of property	<i>One of the following:</i> • Letter from solicitor or regulated accountant • Copy of the sale contract
Company Sale	<i>One of the following:</i> • Letter from solicitor detailing company sale • Copy of the sale contract and statement showing proceeds
Inheritance	<i>One of the following:</i> • Grant of probate • Signed letter from solicitor or estate trustee on headed paper • Last will
Divorce Settlement or any other form of settlement or compensation	<i>One of the following:</i> • Copy of the court order/agreement • Letter from solicitor detailing settlement
Company Profits	<i>One of the following:</i> • Copy of latest audited company accounts • Confirmation on the nature and business of the company provided from recognised accountants
Retirement Income	<i>One of the following:</i> • Pension statement • Bank statement showing the pension income • Letter from the paying institution
Employer Premium	<i>One of the following:</i> • Employer letter on headed paper confirming the amount to be paid • Copy of the last audited company accounts
Fixed deposit – saving	• Saving statement
Dividend payment	<i>One of the following:</i> • Dividend contract note • Bank statement showing the income • Letter detailing dividend details signed by recognised accountant • Set of company account showing the dividend details